

---

## Section 1: 8-K (8-K)

---

---

**United States**  
**Securities and Exchange Commission**  
Washington, D.C. 20549

---

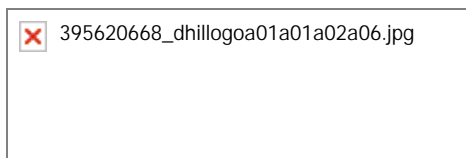
**FORM 8-K**

---

**CURRENT REPORT**  
**Pursuant to Section 13 or 15(d) of the**  
**Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): November 2, 2018

---



**DIAMOND HILL INVESTMENT GROUP, INC.**  
(Exact Name of Registrant as Specified in its Charter)

---

Ohio	000-24498	65-0190407
(State or other jurisdiction of incorporation)	(Commission File Number)	(I.R.S. Employer Identification No.)
325 John H. McConnell Blvd., Suite 200, Columbus, Ohio		43215
(Address of Principal Executive Offices)		(Zip Code)

**Registrant's Telephone Number, Including Area Code: (614) 255-3333**

---

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this

chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

## Item 8.01 Other Events

The following is a summary of assets under management as of October 31, 2018 of Diamond Hill Capital Management, Inc., a wholly owned subsidiary of Diamond Hill Investment Group, Inc. (in millions):

### By Investment Vehicle

Proprietary funds	\$	14,826
Sub-advised funds		1,486
Institutional accounts		4,608
Total	\$	<u>20,920</u>

### By Investment Strategy

Small Cap	\$	1,317
Small-Mid Cap		3,122
Mid Cap		126
Large Cap		10,664
All Cap Select		465
Long-Short		4,003
Global/International		18
Short Duration Fixed Income		499
Core Fixed Income		54
Long Duration Fixed Income		49
Corporate Credit		778
High Yield		51
(Less: Investments in affiliated funds)		<u>(226)</u>
Total	\$	<u>20,920</u>

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

DIAMOND HILL INVESTMENT GROUP, INC.

Date: November 2, 2018

By: /s/ Thomas E. Line  
Thomas E. Line, Chief Financial Officer

[\(Back To Top\)](#)